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Docket No.: SONY 3.0-022
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

Application No.: 10/014,960

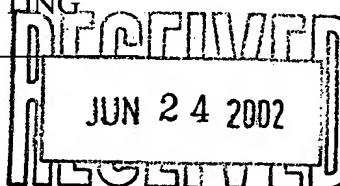
Group Art Unit: 2681

Filed: December 11, 2001

Examiner: Not Yet Assigned

For: METHOD OR SYSTEM FOR EXECUTING
DEFERRED TRANSACTIONS

Commissioner for Patents
Washington, DC 20231



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Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each piece of information is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited information is legally available prior art or that the same are pertinent or material.

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Dated: May 28, 2002

Respectfully submitted,

By Matthew B. Dernier

Matthew B. Dernier

Registration No.: 40,989

LERNER, DAVID, LITTMERBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorneys for Applicant

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PTO/SB/08A (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

1

Complete if Known

Application Number	10/014,960
Filing Date	December 11, 2001
First Named Inventor	Harold A. Lutke
Art Unit	
Examiner Name	Not Yet Assigned
Attorney Docket Number	SONY 3.0-022

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
AA	5,737,701	04/07/98	Rosenthal et al.		
AB	6,105,010	08/15/00	Musgrave		
AC					
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
BA	WO 99/06928		02/11/99			

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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	CB		
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Examiner Signature	Date Considered
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